

DNA binding capability of nano-C₆₀ to pBR322 and phenotypic analysis of potential binding effects

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Nano particles, particularly nano-C₆₀, have been part of a growing research initiative due to their unique properties. These particles are being investigated for medically relevant purposes, such as drug delivery. Disturbingly, part of this research has suggested the binding of these particles to DNA, which could be detrimental if used *in vivo*. In this study, we used spectroscopy to test the ability of nano-C₆₀ to bind to pBR322 plasmid, as well as the phenotypic effect of this binding on transformed *E. coli* DH5α cells. Although we showed that the nano-C₆₀ did interact with DNA, as measured by a significant decrease in absorbance at 260nm, no phenotypic effects of this change were measurable when the plasmid was introduced into the *E. coli* cells. As such, no conclusion could be drawn concerning the *in vivo* effects of nano-C₆₀ on cells; however, the presence of nano-C₆₀ was conclusively shown to alter the DNA *in vitro*.

Since its discovery in 1985, extensive research has been conducted into possible biomedical uses for the C₆₀ particle, alternatively known as buckminsterfullerene (6).

Possible roles for its use in anti-viral, antioxidant, and neuroprotective therapies have been studied, as well as its possible use as a drug delivery system because of its hollow interior (3). Though it is somewhat insoluble in water, study on its use has been conducted on particles with added hydrophilic side-chains, in an effort to increase its solubility in water and therefore its utility in human systems (11). Though it seems like a very promising new molecule, more recently it has come to light that several problems may exist with the use of this molecule. The first issue is that it has been shown to be toxic to some animals in aquatic environments, such as large-mouth bass, Daphnia, and fathead minnow, and toxic effects have also been shown in human fibroblasts and rats (2,8,10,13). Though it has been shown that adding side chains to the C₆₀ can reduce its toxicity, spills or contamination of aquatic systems with untreated C₆₀ particles could be detrimental to the species living there (11). Another issue arising with its use is the *in silico* discovery that C₆₀ may be capable of binding to DNA (12). Experimental research shows the ability of C₆₀ particles to enter various subcellular compartments of the eukaryotic cell, most notably the nucleus where its access to DNA becomes probable (9). Previous studies (1,4) in the UBC Journal of Experimental Microbiology and Immunology have attempted to investigate the possible binding capability of C₆₀ to DNA, and the mutagenic effects that C₆₀ might have on *Salmonella*, but these studies failed to conclusively assess whether or not C₆₀ is

capable of binding to DNA, and if so, whether or not this actually has an affect on the cell to utilize the DNA.

In this study, we intended to conclusively investigate the capacity of nano-C₆₀ to bind DNA *in vitro*, and the *in vivo* effects that binding may have had on the ability to utilize the DNA. Because *in silico* modeling has shown that C₆₀ may intercalate into the minor grooves of the DNA double helix to cause breakage of hydrogen bonds, we performed a modified spectroscopy experiment to determine the presence of C₆₀ binding to DNA under more optimal conditions so as to be measurable (1,12). An *in vivo* study of possible effects of bound C₆₀ was performed by transforming bacteria with pBR322 plasmid allowed to bind C₆₀, and testing the affects on the antibiotic resistance of the bacteria. Failure of the transformed cells to grow on antibiotic resistance medium to the same degree as control cells transformed with untreated pBR322 would indicate that the C₆₀ has either interfered with the ability of the resistance genes to be transcribed, interfered with the ability of the plasmid to replicate, or has caused mutagenesis of the plasmid DNA upon replication, all of which are undesirable effects in a human cell exposed to C₆₀.

MATERIALS AND METHODS

Preparation of nano-C₆₀ complex in water. Nano-C₆₀ (n-C₆₀) preparation was carried out as previously described with slight modification (1). Briefly, 2.5 mg of C₆₀ (98 %, Aldrich, cat. # 483036) was added to 100 mL tetrahydrofuran (THF) (99%, Sigma, cat. # T5267) and stirred for four days to facilitate dissolving. On the fourth day, 20 mL additional THF was added to compensate for evaporation. Over a period of three days, 5 mL dH₂O was added until the added volume of the solution reached 15 mL. When the THF evaporated, the remaining solution was

filter sterilized using a 0.45 um nylon syringe filter (Fisher, cat. # 09-719D).

Measurement of nano-C₆₀ concentration. The concentration of n-C₆₀ was measured using a two-step destabilization-extraction process as previously described (1). Briefly, 300 μ L of nano-C₆₀ in water was destabilized by the addition of an equal volume of 2% NaCl solution (Fisher, cat. #S271-1), and this solution was extracted with 600 μ L toluene (Sigma, cat. #244511). This mixture was vortexed for 10 minutes, then allowed to incubate at room temperature for phase separation for 5 days. Phases obtained were tested for the ability to freeze at -80°C, and the liquid phase was assumed to be the toluene phase. This phase was extracted to a new tube and was used for measurement via spectroscopy. Spectroscopy was carried out using a UV-visible light spectrophotometer (Beckman Coulter DU 530) in a quartz cuvette (Pyrocell, cat. #1007), using toluene as a blank.

Preparation of pBR322 plasmid DNA. pBR322 DNA was isolated from *E. coli* DH5 α cells (MICB 421 frozen stock, Microbiology and Immunology Teaching lab, UBC) using the alkaline lysis technique, as previously described (1). *E. coli* cells were grown overnight at 37°C in a shaking water bath (140 RPM) in Luria Bertani broth (10 g tryptone, 5 g yeast extract, 5 g NaCl, 1 L dH₂O, pH 7) containing 10 μ g/mL tetracycline and 50 μ g/mL ampicillin. Overnight culture (6 mL total – 3 mL in two separate 1.5 mL microfuge tubes) was centrifuged to obtain a cell pellet. Each pellet was lysed in GET buffer (0.901 g glucose, 0.394 g Tris-HCl, 0.372 g EDTA, 100 mL H₂O, pH 8), denatured with denaturation solution (100 μ L 2 M NaOH, 100 μ L 10% SDS, 800 μ L H₂O), and then renatured in KOAc solution (29.44 g KOAc, 11.5 mL glacial acetic acid, 88.5 mL H₂O). Plasmid DNA was extracted with phenol:chloroform:isoamyl alcohol (25:24:1). Precipitation was carried out using 1/10 X volume sodium acetate (24.6 g NaOAc, 100 mL H₂O) and 2.5 X volume 100% ethanol, placed at -20°C for 30 minutes. Precipitated solutions were centrifuged for 20 minutes at 4°C, and the pellets were washed once in 500 μ L cold 70% EtOH. The pellets were allowed to air dry before being resuspended in sterile distilled H₂O. Concentration of plasmid was measured in a quartz cuvette using the Beckman spectrophotometer described above, and purity was assessed by running 1 μ L from each tube on a 1% agarose gel at 87 V for 45 minutes. The gel was stained in an ethidium bromide (EtBr) bath for 15 minutes (0.2 μ g/mL) before being imaged using AlphaImager™ software (Alpha Innotech Corporation, California). Due to the presence of RNA within the plasmid preparation, the tubes of plasmid were treated with 20 μ g of RNaseA at 37°C for 2 hours and 10 minutes. Each tube of treated plasmid was brought up to 200 μ L total volume with sterile distilled water, and a phenol/chloroform extraction and NaOAc precipitation was carried out as described above.

Spectroscopy. Half of the pBR322 plasmid obtained was digested using 200 units EcoRI (New England BioLabs catalogue number: R0101S) at 37°C for 1 hour, and purified via extraction with phenol:chloroform:isoamyl alcohol and precipitation as described above for original plasmid purification. A small aliquot of digested plasmid was checked for full digestion by running this sample on a 1% agarose gel, and the concentration of linearized plasmid was assessed using spectroscopy, as described above. In duplicate, digested pBR322 was added to nano-C₆₀ in a 7:1 molar ratio (bp:C₆₀) as previously described (1). This mixture was allowed to incubate at 4°C for 24 hours prior to measurement of concentration. Digested plasmid for use in spectroscopy experiments was consecutively aliquoted into seven tubes for use to minimize spectroscopy error due to slight differences in concentration of plasmid. Two heat denatured controls were prepared by adding pBR322 DNA in equal concentration as used for the n-C₆₀ binding, and were diluted with a volume of dH₂O equal to the volume of n-C₆₀ suspension added to pBR322. Controls were denatured at 100°C for 20 or 60 minutes on the day of the spectroscopy reading to ensure full denaturation, prior to

being snap-cooled on ice to 4°C for spectroscopy measurement. The EtBr control was prepared by adding 10 μ L of 0.2 μ g/mL EtBr to the aliquoted pBR322, and diluting to the same final concentration as the other experiments with sterile distilled water. For measurement of initial A₂₆₀ of linear pBR322, two DNA controls were set up using equal volume of pBR322 as used for the other experiments, diluted to the same final concentration using sterile distilled water. Spectroscopy blanks were prepared as follows: for the pBR322 n-C₆₀ measurements, a blank was prepared using sterile distilled water with the same amount of n-C₆₀ as was added to the pBR322 plasmid; for the EtBr control, a spectroscopy blank was prepared by adding 10 μ L of 0.2 μ g/mL EtBr to distilled water, creating the same final solution volume and final EtBr concentration as was in the EtBr experimental sample; for the heat denatured plasmids and the DNA controls, the spectrophotometer was blanked with sterile distilled water. All spectroscopy measurements were carried out at 4°C to prevent DNA reannealing of heat controls, and variation due to temperature difference. Spectroscopy was carried out with the same equipment described above, measuring the A₂₆₀ of each sample.

pBR322 transformation of *E. coli* DH5 α . Of the pBR322 plasmid saved from above, half was treated with n-C₆₀ (as described above), and the other half remained untreated. *E. coli* DH5 α cells were grown overnight at 37°C in a shaking water bath (140 RPM) in Luria Bertani broth. The cells were made competent as follows: 3 mL of overnight culture was centrifuged at 2500 rpm for 20 minutes; Pellet was resuspended in ½ culture volume of ice cold 50 mM CaCl₂; Culture was then centrifuged at 2500 rpm for 20 minutes, then resuspended in 1/10th of the culture volume in ice cold 50 mM CaCl₂. Cells were transformed via CaCl₂ transformation. Forty μ L aliquots of *E. coli* DH5 α cells were added to three pre-chilled 15 mL test tubes. Five μ L of pBR322 DNA, pBR322 incubated with n-C₆₀, or sterile distilled water were added to the cells and gently mixed by pipette, then the cells were chilled on ice for 30 minute. Heat shock was performed at 42°C for 90 seconds, then samples were placed back on ice for 2 minutes. One mL of SOC medium (20 g bacto-tryptone, 5 g bacto-yeast extract, 0.5 g NaCl and 10 ml 250 mM KCl) was added to each tube, and tubes were incubated at 37°C for 2.5 hours. From each tube, 50 μ L and 200 μ L aliquots were plated on Luria Bertani agar (10 g tryptone, 5 g yeast extract, 5 g NaCl, 15 g agar, 1 L dH₂O, pH 7) containing 15 μ g/mL tetracycline, 100 μ g/mL ampicillin, or no antibiotic (six plates total for each sample).

RESULTS

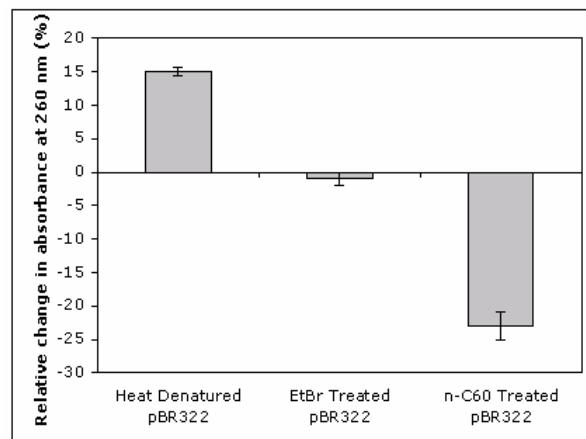


FIG 1. Relative effects of n-C₆₀, heat, and ethidium bromide treatment of pBR322 DNA on absorbance at 260nm. Results

displayed are calculated from the average of the replicates for each treatment condition, as compared to the average of the replicates from the untreated pBR322 control. Error was calculated as the difference observed between the replicates.

Concentration of nano-C₆₀ in water. The concentration of dissolved n-C₆₀ was determined to be 1.14×10^{-5} M, one third less than the value reported for saturation (1).

The effects of nano-C₆₀ on absorbance of double-stranded DNA. As expected, the heat control exhibited an increase in A₂₆₀ of 16% relative to the double-stranded DNA control (Fig. 1). This result was obtained by taking an average of the measured absorbance for the heat denatured controls at 20 minutes and 60 minutes, as these values did not show a large amount of variation, indicating that full denaturation of the DNA likely occurred. Unexpectedly, when the plasmid was treated with ethidium bromide, a known intercalating agent, the A₂₆₀ was well within the range produced by the untreated DNA controls (Fig. 1). Surprisingly, n-C₆₀ treatment of pBR322 DNA caused a reduction in absorbance of 23% relative to the untreated DNA control, which is opposite to the predicted effect (Fig. 1). The minor error associated with the n-C₆₀ replicate measurements indicates that the A₂₆₀ is significantly decreased in comparison to the untreated pBR322 DNA control.

TABLE 1. Growth of *E. coli* DH5α cells transformed with n-C₆₀ treated or untreated pBR322, plated on LB agar containing tetracycline or ampicillin.

<i>E. coli</i> DH5α transformants	Colonies obtained on LB agar containing antibiotic:	
	Tetracycline	Ampicillin
n-C ₆₀ treated pBR322	34 ± 5.8	66 ± 8.1
Untreated pBR322	41 ± 6.4	71 ± 8.4

The effects of n-C₆₀ on pBR322 utilization in *E. coli* DH5α cells. Confluent growth was obtained on all agar plates lacking antibiotic for samples and controls, so the variation in initial concentration of plated transformants could not be assessed. As expected, the *E. coli* DH5α control “transformed” with water did not yield any colonies on plates containing either ampicillin or tetracycline. For the *E. coli* transformed with n-C₆₀ treated or untreated pBR322, the 200 μL volume plated yielded sufficient colony numbers to be within the countable range (Table I). Results for ampicillin and tetracycline

containing LB agar plates show the same trend for relative numbers of transformants with n-C₆₀ treated and untreated pBR322. This trend reveals a low number of colonies on plates carrying *E. coli* DH5α with n-C₆₀ treated plasmid; however, the error associated with the measurements and the lack of initial concentration comparison from LB lacking antibiotics indicates that the results are not significantly different from each other, so no significant effect of n-C₆₀ presence was detected (Table I).

DISCUSSION

Following *in silico* observations (12) that n-C₆₀ may be capable of binding DNA, Aw *et al.* undertook a series of experiments to test the DNA binding capacity of n-C₆₀ *in vitro*, with variable results (1). In one of the experiments, it was hypothesized that the ability of n-C₆₀ to intercalate into the bases of DNA would cause a separation of those bases and a concomitant increase in the A₂₆₀ of the DNA (1). Though it was shown that denaturation of the DNA causing a full separation of the bases indeed produces an increase in A₂₆₀ (1), the fundamental component of this hypothesis was not tested. Namely, it was not tested whether intercalation itself actually causes significant enough separation so as to be measurable via spectroscopic change. In this study, we repeated the spectroscopy experiments using more favourable conditions to allow optimal n-C₆₀ binding, and also tested whether or not the presence of a known intercalating agent would be sufficient to cause a measurable change in the A₂₆₀ of DNA. When ethidium bromide was added to linearized pBR322 DNA, the A₂₆₀ was well within the range produced by the unaltered pBR322 controls (Fig. 1). This indicates that intercalation does not induce a large enough separation to cause a change in absorbance, so we would not expect to see a change in A₂₆₀ even if the n-C₆₀ was bound to the linearized plasmid; however, actual experimental results demonstrate a significant *decrease* in the A₂₆₀. This appears to be indicative of a binding interaction, because free n-C₆₀ in the solution should not cause a change in absorbance, as the spectrophotometer was blanked with n-C₆₀ solution. We hypothesize that the observed decrease in absorbance is due to n-C₆₀ induced formation of a more condensed DNA structure. A recent study using IR spectroscopy to examine DNA in human cells reported that in cells with inactivated nuclei, containing condensed chromatin, no IR absorbance could be obtained from the DNA (7). The demonstration that absorbance can be decreased due to the condensation of structure provides support for

our hypothesis - though our absorbance was not decreased so far as to be undetectable, an increasing compactness of DNA structure should couple to a decrease in absorbance, as was observed with n-C₆₀ treatment. In contrast to previous results with intact plasmid (1), large variation was not observed between n-C₆₀ treated, linearized pBR322 replicates, or between linearized pBR322 controls denatured for different amount of times, which is to be expected as full denaturation should occur very quickly. This indicates that the results obtained via the modified protocol with linearized plasmid used in this experiment are more reliable, and can conclusively demonstrate n-C₆₀ interaction with DNA.

Though it is both interesting and alarming to note that n-C₆₀ can bind to (or at least interact with) DNA *in vitro*, the full impact of this interaction can not be realized without the use of *in vivo* studies. We hypothesized that binding of n-C₆₀ to pBR322 may interfere with the utilization of this DNA in *E. coli* DH5 α cells. Specifically, n-C₆₀ could interfere with transcription or replication of the DNA. Alternatively, as it is common knowledge that the intercalating agent ethidium bromide can cause DNA mutagenesis, n-C₆₀ binding to pBR322 may also cause mutation of the DNA. As this plasmid contains two antibiotic resistance genes, one for ampicillin and the other for tetracycline, we attempted to test this hypothesis by transforming *E. coli* DH5 α cells with n-C₆₀ treated pBR322 and determining of the ability of the transformants to grow in the presence of either antibiotic. Results from this experiment did show a slightly lower colony count on plates with the n-C₆₀ treated pBR322 transformants relative to the untreated pBR322 controls for both antibiotics, as would be expected if n-C₆₀ has an adverse effect on plasmid utilization; however, the difference observed was not great enough to be above the expected error rate observed with the procedure. As such, it cannot be concluded that n-C₆₀ has a significant effect on the ability of the DH5 α cells to successfully use the plasmid to confer antibiotic resistance. Nevertheless, it also cannot be concluded that n-C₆₀ does not have an effect on pBR322 utilization. There are several possible mechanisms through which effects of n-C₆₀ may have been masked. First, if the n-C₆₀ causes impairment of transcription or translation but still allows a lower amount to occur, the transformed cells would still be able to exhibit antibiotic resistance and replicate at a slightly slower rate. Due to segregation of the affected DNA into the two daughter cells, effective concentration of n-C₆₀ in each cell should be reduced by 50%. This would allow less repression of the plasmid, and subsequent generations would dilute the n-C₆₀ even further. In

the end, because of the fast generation time of *E. coli*, a plate of transformants would dilute the n-C₆₀ fast enough to facilitate formation of a visible colony from overnight incubation, as if the n-C₆₀ had no effect. Second, potential mutagenic ability of n-C₆₀ is of great concern, especially if the n-C₆₀ persists in cells for long periods of time. While there weren't observable phenotypic effects of n-C₆₀ presence, genotypic analysis was not performed in this experiment. If n-C₆₀ is a mutagen, the mutation rate may be sufficiently low to allow the replicative production of pBR322 plasmid with functional antibiotic genes. It would only take the production of one plasmid capable of conferring antibiotic resistance to keep the progeny cell alive after replication. Because of the dilution effect discussed above, after a few generations the presence of one cell still containing a functional antibiotic resistance gene on the plasmid would be sufficient to cause the generation of a colony and no observable phenotypic effect. Third, because confluent growth was obtained on all LB agar plates lacking antibiotics, it cannot be ruled out that the variation in the number of transformants was due to a small variation in the initial concentration of cells used in the transformation protocol. Finally, the actual presence of n-C₆₀ within the DH5 α cells cannot be ascertained. It was not tested whether or not the conditions of transformation had an effect on the ability of the n-C₆₀ to stay bound to the pBR322, and the stability of this complex is unknown. During the transformation protocol, the n-C₆₀-pBR322 complex was subjected to high CaCl₂ concentrations and 42°C heating conditions. These conditional changes may have been sufficient to cause release of the n-C₆₀ from the DNA prior to entry into the cell. Additionally, because n-C₆₀ is hydrophobic, it is not known whether or not it will preferentially associate with the hydrophobic interior of the lipid bilayer instead of remaining bound to the DNA while entering the cell. Though it cannot be confirmed that the n-C₆₀ was bound at the time of transformation, because of the similar colony counts obtained from n-C₆₀ treated and untreated pBR322, it does not appear that the presence of n-C₆₀ has an affect on transformation ability.

In summary, contrary to the expected results, it was demonstrated that n-C₆₀ treatment of DNA generates a reduction in the A₂₆₀ of the DNA, which could possibly be explained by a condensation of the DNA structure. Transformation experiments performed failed to elucidate whether the presence of n-C₆₀ associated with pBR322 disrupts the utility or integrity of the DNA. Though other toxic effects have been associated with n-C₆₀ (8,10,11,13), knowledge of potential effects of n-C₆₀ association

with DNA is definitely essential if this molecule is to be used for industrial applications.

FUTURE EXPERIMENTS

To demonstrate the *in vivo* effects of n-C₆₀ on DNA an improved transformation experiment could be carried out, ensuring the presence of n-C₆₀ in the cell. This could be accomplished by first testing the effect on binding of each variable the DNA-n-C₆₀ complex is to be exposed to. This may include temperature changes, changes in ionic strength, or electric charge (if electroporation is to be used). The effect could be measured by sequentially subjecting the complex to each variable introduced during the transformation, and immediately measuring the absorbance to detect an increase in A₂₆₀, which would indicate decreased binding of n-C₆₀. The protocol causing the least amount of variation in A₂₆₀ could then be used to repeat the transformation experiment. Furthermore, we were not completely sure as to whether nano-C₆₀ particles actually entered the cell during transformation, and therefore, future experiments should be aimed at optimizing this aspect of the experiment. It is recommended that the *E.coli* cells be flooded with a solution of saturated nano-C₆₀ particles at the time of the transformation to help increase the odds that the particles will be taken up by the cell. In addition, it is important to include a countable dilution on LB agar not containing an antibiotic, as similarity in the number of colonies on this plate would lend credibility to smaller changes, as the changes are less likely to be due to a small difference in starting concentration. Including a range of nano-C₆₀-treated plasmids may also help to ascertain as to whether the similarity in response seen in Table 1 is due to a limitation in the competent cells rather than a similarity of the effects of nano-C₆₀.

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